



PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2006**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,265.00

**Complete if Known**

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 09/775,599               |
| Filing Date          | February 5, 2001         |
| First Named Inventor | JOSEPH G GATTO           |
| Examiner Name        | Narayanswamy Subramanian |
| Art Unit             | 3624                     |
| Attorney Docket No.  | 089070-0311371           |

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 033975 Deposit Account Name: PILLSBURY WINTHROP SHAW PITTMAN LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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**FEE CALCULATION** (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   | 0.00           |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description  | Fee (\$)            | Small Entity Fee (\$) |
|--|---------------------|-----------------------|
| Each claim over 20 (including Reissues)                                | 50                  | 25                    |
| Each independent claim over 3 (including Reissues)                     | 200                 | 100                   |
| Multiple dependent claims  | 360                 | 180                   |
| <b>Total Claims</b> 54**   | <b>Extra Claims</b> | <b>Fee (\$)</b>       |
| 45 x 20 x HP =   | -9                  | x = 0.00              |
| HP = highest number of total claims paid for, if greater than 20.      |                     |                       |
| <b>Indep. Claims</b> 17**  | <b>Extra Claims</b> | <b>Fee (\$)</b>       |
| 17 x 20 x HP =   | 12                  | x 100.00 = 1,200.00   |
| HP = highest number of independent claims paid for, if greater than 3. |                     |                       |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|              |              |  |          |                |
|--------------|--------------|--|----------|----------------|
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fees Paid (\$) |
| - 100 =      | / 50 =       | (round up to a whole number) x                   | 125.00   | = 0.00         |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Terminal Disclaimer

Fees Paid (\$)

65.00

**SUBMITTED BY**

|                   |                    |                                   |                  |           |              |
|-------------------|--------------------|-----------------------------------|------------------|-----------|--------------|
| Signature         |                    | Registration No. (Attorney/Agent) | 47429            | Telephone | 703.770.7741 |
| Name (Print/Type) | Bradford C. Blaise | Date                              | October 18, 2006 |           |              |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

TERMINAL DISCLAIMER

Applicant: GATTO  
Serial No: 09/775,599  
Filing Date: February 5, 2001  
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Customer No.: 00909

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF: Joseph G. GATTO  
SERIAL NO.: 09/775,599  
ATTORNEY DOCKET NO: 089070-0311371 (23449-016)  
FILING DATE: February 5, 2001  
ART UNIT: 3624  
EXAMINER: NARAYANSWAMY SUBRAMANIAN  
FOR: SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

**TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE  
PATENTING REJECTION OVER A PENDING "REFERENCE" APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Dear Sir:

The undersigned, on behalf of the Petitioner, **Starmine Corporation**, represents that the Petitioner, **Starmine Corporation** is the owner of the entire right, title and interest of:

(1) Pending U.S. Application Serial No. 09/524,253, filed on March 13, 2000 (hereinafter "**reference application**") for "SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD," by virtue of an Assignment to **Starmine Corporation** from the inventor thereof recorded on December 18, 2000, at Reel 011081, Frame 0057; and

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(2) the above-captioned U.S. Application Serial No. 09/775,599 by virtue of an Assignment to **Starmine Corporation** from the inventor thereof recorded on June 29, 2001, at Reel 011941, Frame 0936.

Petitioner hereby certifies that the above-mentioned Assignments have been reviewed and to the best of Petitioner's knowledge and belief, title is in Petitioner who is seeking to take this action.

Petitioner hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the above-captioned U.S. Application Serial No. 09/775,599 which would extend beyond the expiration date of the full statutory term as presently shortened by any terminal disclaimer filed prior to the grant of any patent on the pending **reference application**, and hereby agrees that any patent so granted on the above-captioned U.S. Application Serial No. 09/775,599 shall be enforceable only for and during such period that the legal title to any patent granted on the pending **reference application** shall be the same as the legal title to said patent issuing from the above-captioned U.S. Application Serial No. 09/775,599, this agreement to run with any patent granted on U.S. Application Serial No. 09/775,599 and to be binding upon the grantee, its successors or assigns.

Petitioner does not disclaim any terminal part of any patent granted on the above-captioned U.S. Application Serial No. 09/775,599 prior to the expiration date of the full statutory term as presently shortened by any terminal disclaimer of any patent granted on the pending **reference application**; in the event that any patent granted on the pending **reference application** later: expires for failure to pay a maintenance fee, is held

**TERMINAL DISCLAIMER**

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unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. §1.321(a), has all claims canceled by a Reexamination certificate, or is otherwise terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer filed prior to its grant, except for the separation of legal title state above.

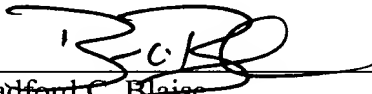
The undersigned whose signature and title appear below is empowered to act on behalf of Petitioner.

The Director is authorized to charge the \$65.00 (small entity) fee for the filing of this Terminal Disclaimer, as well as any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 033975 (**Ref. No. 089070-0311371**).

Date: **October 18, 2006**

Respectfully submitted,

By:

  
Bradford C. Blaise  
Registration No. 47,429

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